UTILITY PATENT APPLICATION

TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No. | | 30320/14579 | | | | |
|------------------------|---|----------------|--|--|--|--|
| First Inventor | | Xing SU | | | | |
| Title | METHOD AND DEVICE FOR ISOLATING AND POSITIONING SINGLE NUCLEIC ACID MOLECULE FOR SEQUENCING | | | | | |
| Express Mail Label No. | | EV323764565 US | | | | |

| <u> </u> | | | | | LApres | 3 IVIC | iii Lat | er ivo. | v | 323704 | 505 | 00 | |
|--|--|---|--|--|--------------------|---|---------|--|--|---|--|---|----------------|
| Se | | | ATION ELI cerning utility p | EMENTS patent application | n contents. | ΑĽ | DDR | ESS 1 | U. | P.O. Box | ioner 1450 | for Patents | PT0 88 |
| 1. 2. 3. | (Submit ai Applican See 37 C X Specifica (preferred - Describer - Peser - Referred - Peser - Referred - Detail - Claim - Abstra X Drawing(Oath or Declara - X New - Declara - X New - Cop | n original, and t claims snocket 1.27. ation arrangement inpitive title of Reference to the ence to seque computer proground of the Summary of Description detection of the Discounties | nt set forth below the invention to Related Applicing Fed sponsor tence listing, a taggram listing apple invention of the Drawings (ion sclosure for application divisional with Both NOF INVENTED | I fee processing) Is. [Total Pages] ations ed R & D bble, endix if filed) [Total Sheets] [Total Sheets] (37 CFR 1.63(d) ox 18 completed) OR(S) | 18] 3] 2] | 7. 8. 9. 10. 11. 12. 13. 14. | (if a | Compute to the control of the contro | M or Color Processing of the P | CD-R in dup ogram (Apper Amino Acid ecessary) Readable For equence List ROM or CD verifying id ANYING A rapers (cove b) Statemer is an assigne lation Docu isclosure S)/PTO-144 mendment of Postcard opecifically ite y of Priority y is claimed) | ilicate, endix) Sequ Sequ Corm (Corning or R (2 corning or R (| large table or ence Submission CRF) Topies); or ii. of above copies ICATION PARTS et & document(s)) Power of Attorney (if applicable) Copies of IDS Citations P 503) ment(s) | Paper 10/78123 |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | 16. | | | | | | 35 U.S.C. 122 (b)(2) D/SB/35 or its equiva | | | | |
| | | See 37 CFH | 1.63(u)(2) anu | 1.33(b). | | 17. | | Other: | T TIUS | attaci ion | | DISBISS OF Its equiva | icit. |
| 6. | | | | | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by | | | | | | | | | | | | | |
| refe | erence. The inc | orporation | can only be r | ····· | | | | | | d from the | submi | tted application part | s. |
| | | | | | ORRESPO | NDE | NCE | AUURE | -33 | | | | |
| | X Custome | r Number: | L | 0474 | 43 | | | OR | L | Corres | ponde | ence address below | |
| Na | MARSHALL, GERSTEIN & BORUN LLP Michael R. Hull | | | | | | | | | | | | |
| Add | dress | 233 S. Sears | | rive, Suite (| 6300 | | | | | | | | |
| City Chicago State | | IL | L Zip Code 60606-6357 | | | | | | | | | | |
| Co | untry | US | | | Telephone | (31 | 2) 4 | 74-630 | 00 | | Fax | (312) 474-044 | 18 |
| | Name (Print∕1 | ype) | Mjehae/ R | ./Hyll/) | 1// | | Regi | stration N | lo. (At | torney/Ager | 11) | 35,902 | |
| L | Signature | | X/ | MAM | <u>//</u> | | | | | Date | Fe | ebruary 18, 20 | 04 |
| | | | ()/8 | | / | | | | | | | | |

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| in an envelope addressed to: MS Patent | Application, Commissione of Paterits, P.J. Box 1450, Alexandria, VA 22313-1450, on the |
| date shown below. | Application, Commissioner of Patents, P.S. Box 1450, Alexandria, VA 22313-1450, on the |
| | |
| Dated: February 18, 2004 | Signature: X 1 // A// // A// A// A// Signature: X 1 // A// A// A// A// A// A// A// A// A/ |

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

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| FFF TO A NOBILITY A L | | Complete if Known | | | | | |
|--|---------------|---------------------------------|--------------|---------------|---|--|--|
| FEE TRANSMITTAL | | Applic | ation | Numbe | er Not Yet Assigned | | |
| for FY 2004 | | Filing Date | | | Concurrently Herewith | | |
| | | First Named Inventor Xing SU | | | ntor Xing SU | | |
| Effective 10/01/2003, Patent fees are subject to annual revision. | | Examiner Name Not Yet Assigned | | | Not Yet Assigned | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | Art Unit N/A | | | N/A | | |
| TOTAL AMOUNT OF PAYMENT (\$) 936.00 | | Attorney Docket No. 30320/14579 | | | o. 30320/14579 | | |
| METHOD OF PAYMENT (check all that apply) | | - | | | CALCULATION (continued) | | |
| Credit Money | 2 . | DDITIO | 20141 | | | | |
| X Check Card Order Other None |] 3. <i>P</i> | אוווטטוו | JNAL | rees | • | | |
| Deposit Account: | | | Conall | E-mailan. | | | |
| Deposit 13-2855 | Fee | Entity Fee | Fee | Entity Fee | Fee Description | | |
| Number | Code | (\$) | Code | (\$) | Fee Paid | | |
| Deposit Account MARSHALL, GERSTEIN & | 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath | | |
| Name BORUN LLP The Director is authorized to: (check all that apply) | 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | | |
| Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 1053 | 130 | · | | |
| | | | | | Non-English specification | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1812 | 2,520 | 1812 | • | For filing a request for ex parte reexamination Requesting publication of SIR prior to | | |
| Charge fee(s) indicated below, except for the filing fee | 1804 | 920* | 1804 | 920* | Examiner action | | |
| to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| FEE CALCULATION | 1251 | 110 | 2251 | 5 5 | Extension for reply within first month | | |
| 1. BASIC FILING FEE | 1252 | 420 | 2252 | | Extension for reply within second month | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid | 1253 | 950 | 2253 | | Extension for reply within third month | | |
| Code (\$) Code (\$) | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | |
| 1001 770 2001 385 Utility filing fee 770.00 1 1002 340 2002 170 Design filing fee | 1255 | 2,010 330 | 2255 2401 | | Extension for reply within fifth month Notice of Appeal | | |
| 1002 340 2002 170 Design limit lee | 1401 | 330 | 2402 | | Filing a brief in support of an appeal | | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | | Request for oral hearing | | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| SUBTOTAL (1) (\$) 770.00 | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | | |
| | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from | 1501 | 1,330 | 2501 | | Utility issue fee (or reissue) | | |
| Claims below Fee Paid Total Claims 27 -20** = 7 x 18.00 = 126.00 | 1502 1503 | 480 640 | 2502 2503 | 240 320 | Design issue fee Plant issue fee | | |
| Total Claims 27 -20** = 7 x 18.00 = 126.00 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | |
| Claims S S S S S S S S S S S S S S S S S S S | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(g) | | |
| | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per | | |
| Code (\$) Code (\$) | | | | | property (times number of properties) Filing a submission after final rejection | | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 | 770 | 2809 | 385 | (37 ČFR 1.129(a)) | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | | |
| 1204 86 2204 43 ** Reissue independent claims | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | |
| over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | |
| and over original patent | Other | fee (spe | cify) | | | | |
| SUBTOTAL (2) (\$) 126.00 | ⁺Redu | ced by E | Basic Fil | ling Fee | Paid SUBTOTAL (3) (\$) 40.00 | | |
| **or number previously paid, if greater, For Reissues, see above | <u> </u> | | | | | | |
| SUBMITTED BY | Parist | mtin = A1 | | | (Complete (if applicable)) | | |
| Name (Print/Type) Michael R. Hvill | | ration No ey/Agent) | | ,902 | Telephone (312) 474-9577 | | |
| Signature | | | | | Date February 18, 2004 | | |
| | | | | | | | |
| | | | | | | | |
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date shown below.

Dated: February 18, 2004

(Richard Zimmermann)

| Application No. (if known): | Attorney Docket No.: 30320/14579 |
|-----------------------------|----------------------------------|

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on February 18, 2004

Date

Richard Zimmermann

Typed or printed name of person signing Certificate

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Application Data Sheet